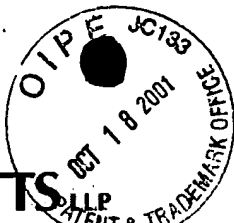


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1742

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/868,471
Filing Date	June 15, 2001
First Named Inventor	Tseng
Group Art Unit	1742
Examiner Name	To be assigned
Attorney Docket Number	A32795-PCT-USA

Total Number of Pages in This Submission 4

### ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Preliminary Amendment

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

BakerBotts LLP  
30 Rockefeller Plaza  
New York, NY 10112

Signature

Att Name: Louis S. Sorell  
PTO Reg: 32,439

Date

10-15-01

### CERTIFICATE OF MAILING

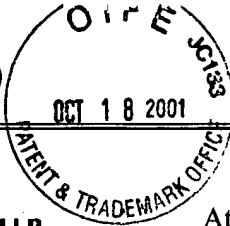
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 10-15-01

Typed or printed name

Louis S. Sorell

Signature

Date 10-15-01



**BAKER BOTTS** LLP

Attorney Docket Number: A32795-PCT-USA

Title:

CRUCIBLE AND SPINDLE FOR A VARIABLE SIZE DROP DEPOSITION SYSTEM

Use Space Below for Additional Information:

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A32795-PCT-USA (072448.0327)

PATENT

4/A  
B/H  
10/24/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Tseng Examiner: To Be Assigned  
Serial No. : 09/868,471 Group Art Unit: 1742  
Filed : June 15, 2001  
For : CRUCIBLE AND SPINDLE FOR A VARIABLE SIZE DROP  
DEPOSITION SYSTEM

**PRELIMINARY  
AMENDMENT**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

October 15, 2001  
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Louis S. Sorell  
Attorney Name

  
Signature

32,439  
PTO Registration No.

October 15, 2001  
Date of Signature

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Hon. Assistant Commissioner for Patents

Washington, DC 20231

Sir:

Prior to the examination of the above-captioned patent application, please  
amend this application as follows:

**In the Specification:**